



# DWARIKESH SUGAR INDUSTRIES LIMITED

Corp. off.; 511, Maker Chambers V, 221, Nariman Point, Mumbai – 400021. Tel.: 2283 2486, 2204 2945, Fax : 2204 7288  
E Mail : dsilbom@dwarikesh.com Website : www.dwarikesh.com, CIN : L15421UP1993PLC018642

REF: DSIL/2023-24/011

April 11, 2023

Corporate Relationship Department  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort, Mumbai - 400 001  
Fax: 22723 2082 /3132

National Stock Exchange of India Limited  
“Exchange Plaza”  
Bandra – Kurla Complex,  
Bandra [E], Mumbai - 400 051

**Scrip Code - 532610**

**Scrip Code – DWARKESH**

**Sub: Regulations 40 (9) for Physical Transfer**

Dear Sir,

Pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are pleased to forward herewith a certificate from practicing Company Secretary confirming that there are no shares pending for transfer, sub-division, consolidation, renewal, exchange or endorsement for the year ended March 31, 2023 as per your format.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully

**B. J. Maheshwari**

Managing Director & CS cum CCO  
(DIN: 00002075)

Encl: As above.

VIJAY KUMAR MISHRA

B. Com (Hons), A C A . F.C.S

PARESH D PANDYA

B. Com., A.C.S.

**VKM & ASSOCIATES**

**PRACTISING COMPANY SECRETARIES**

116, Trinity Building, 1st Floor, 227, Dr. C. H. Street, Behind

Parsi Dairy, Marine Lines (E), Mumbai - 2. Tel.: 2207 7267

Fax : 2207 7542 Mob.: 93229 77388

E-mail: vkmassociales@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS  
REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2023.**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Dwarikesh Sugar Industries Limited** having its Registered Office at Dwarikesh Nagar-246 762, Dist.Bijnore,Uttar Pradesh maintained by **Universal Capital Securities Pvt. Ltd** pertaining to transfer of equity shares of the company for the year from **1<sup>st</sup>April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate as per Clause 40(9) SEBI (Listing Obligation And Disclosure Requirement ) Regulation 2015 and based on the information provided by the Company's Registrar and Share Transfer Agent , We hereby certify that the Company has delivered during year ended on **31<sup>st</sup> MARCH, 2023:**

A) Shares Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> April, 2022 to 31st March, 2023 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

For VKM & ASSOCIATES  
Company Secretaries



(Vijay Kumar Mishra)  
Partner  
C.P.No.4279

**UDIN : F005023E000046548**

**Place : Mumbai**

**Date : 10/04/2023**