

General information about company	
Scrip code	532610
Name of the company	Dwarikesh Sugar Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	78833510			78833510	41.87	78833510		78833510	41.87
(B)	Public	22371	109467960			109467960	58.13	109467960		109467960	58.13
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	22378	188301470			188301470	100	188301470		188301470	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				41.87					78833510
(B)	Public				58.13					108964450
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					187797960

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	30993440			30993440	16.46	30993440		30993440	16.46
(d)	Any Other (specify)	2	47840070			47840070	25.41	47840070		47840070	25.41
Sub-Total (A)(1)		7	78833510			78833510	41.87	78833510		78833510	41.87
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	78833510			78833510	41.87	78833510		78833510	41.87
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	3503942			3503942	1.86	3503942		3503942	1.86
(e)	Foreign Portfolio Investors	20	7967381			7967381	4.23	7967381		7967381	4.23
(f)	Financial Institutions/ Banks	2	440491			440491	0.23	440491		440491	0.23
(g)	Insurance Companies	1	400000			400000	0.21	400000		400000	0.21
Sub-Total (B)(1)		25	12311814			12311814	6.54	12311814		12311814	6.54
(2)	Central Government/ State Government(s)/ President of India	1	250000			250000	0.13	250000		250000	0.13
Sub-Total (B)(2)		1	250000			250000	0.13	250000		250000	0.13
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	20687	35960410			35960410	19.1	35960410		35960410	19.1
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	28	33012612			33012612	17.53	33012612		33012612	17.53
(b)	NBFCs registered with RBI	8	6507475			6507475	3.46	6507475		6507475	3.46
(e)	Any Other (specify)	1622	21425649			21425649	11.38	21425649		21425649	11.38
Sub-Total (B)(3)		22345	96906146			96906146	51.46	96906146		96906146	51.46
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		22371	109467960			109467960	58.13	109467960		109467960	58.13

(B)(2)+(B)(3)											
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		22378	188301470			188301470	100	188301470		188301470	100
Total (A+B+C)		22378	188301470			188301470	100	188301470		188301470	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.46					30993440
(d)				25.41					47840070
Sub-Total (A) (1)				41.87					78833510
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				41.87					78833510
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				1.86					3503942
(e)				4.23					7967381
(f)				0.23					440491
(g)				0.21					400000
Sub-Total (B) (1)				6.54					12311814
(2)				0.13					250000
Sub-Total (B) (2)				0.13					250000
(3)	Non-institutions								
(a(i))				19.1					35673810
(a(ii))				17.53					33012612
(b)				3.46					6507475
(e)				11.38					21208739
Sub-Total (B) (3)				51.46					96402636
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				58.13					108964450
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					187797960
Total (A+B+C)				100					187797960

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	GAUTAM R MORARKA	GAUTAM R MORARKA - Karta C/o. Gautam R. Morarka HUF	PRANAY GAUTAM MORARKA	PRIYANKA G MORARKA	SMRITI G MORARKA	Click here to go back
PAN (II)	AAKPM6075B	AADHG0441A	ANUPM8420D	AIOPM0635P	AFAPM9992C	Total
No. of fully paid up equity shares held (IV)	28266590	63000	1249710	512360	901780	30993440
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28266590	63000	1249710	512360	901780	30993440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	28266590	63000	1249710	512360	901780	30993440
Class eg:y						
Total	28266590	63000	1249710	512360	901780	30993440
Total as a % of Total Voting rights	15.01	0.03	0.66	0.27	0.48	16.46
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	28266590	63000	1249710	512360	901780	30993440

Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	DWARIKESH TRADING COMPANY LTD	MORARKA FINANCE LIMITED	
PAN (II)	AABCD8270H	AAACM2821Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	26248890	21591180	47840070
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	26248890	21591180	47840070
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.94	11.47	25.41
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	26248890	21591180	47840070
Class eg:y			
Total	26248890	21591180	47840070
Total as a % of Total Voting rights	13.94	11.47	25.41
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.94	11.47	25.41
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity	26248890	21591180	47840070

shares held in dematerialized form (XIV)			
Reason for not providing PAN			

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	MORGAN STANLEY (FRANCE) S.A.	Click here to go back
PAN (II)	AAKCM6204B	Total
No. of fully paid up equity shares held (IV)	3490694	3490694
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3490694	3490694
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.85	1.85
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3490694	3490694
Class eg:y		
Total	3490694	3490694
Total as a % of Total Voting rights	1.85	1.85
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.85	1.85
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	3490694	3490694
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ANIL KUMAR GOEL	DOLLY KHANNA	MUKUL M AGRAWAL	SEEMA GOEL	KRISHNA KUMAR KUMBHAT	Click here to go back
PAN (II)	AAJPG2552Q	ADOPD7812J	AARFP3029P	AHAPG8015A	AFOPK3469E	Total
No. of fully paid up equity shares held (IV)	7920000	3002375	4000000	3020000	1911060	19853435
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7920000	3002375	4000000	3020000	1911060	19853435
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.21	1.59	2.12	1.6	1.01	10.54
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	7920000	3002375	4000000	3020000	1911060	19853435
Class eg:y						
Total	7920000	3002375	4000000	3020000	1911060	19853435
Total as a % of Total Voting rights	4.21	1.59	2.12	1.6	1.01	10.54
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.21	1.59	2.12	1.6	1.01	10.54
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	7920000	3002375	4000000	3020000	1911060	19853435
Reason for not providing PAN						
Reason for not providing PAN						

NBFCs registered with RBI		
Serial No.	1	
Name of the Shareholders (I)	INDIANIVESH CAPITALS LIMITED	Click here to go back
PAN (II)	AAACJ6703C	Total
No. of fully paid up equity shares held (IV)	6167700	6167700
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6167700	6167700
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.28	3.28
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	6167700	6167700
Class eg:y		
Total	6167700	6167700
Total as a % of Total Voting rights	3.28	3.28
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.28	3.28
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	6167700	6167700
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Trusts	Bodies Corporate	NRI – Repat	NRI – Non-Repatriate	Director or Director's Relatives	Other
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							LLP / PARTNERSHIP FIRM
PAN (II)							
No. of the Shareholders (I)	333	4	393	301	120	2	16
No. of fully paid up equity shares held (IV)	2506557	93320	10542156	1511750	737514	10310	424065
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2506557	93320	10542156	1511750	737514	10310	424065
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.33	0.05	5.6	0.8	0.39	0.01	0.23
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2506557	93320	10542156	1511750	737514	10310	424065
Class eg: y							
Total	2506557	93320	10542156	1511750	737514	10310	424065
Total as a % of Total Voting rights	1.33	0.05	5.6	0.8	0.39	0.01	0.23
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.33	0.05	5.6	0.8	0.39	0.01	0.23
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2506557	93320	10542156	1511750	520604	10310	424065

Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Serial No.	8	9	
Category	HUF	HUF	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		SANJAY DATTA	Click here to go back
PAN (II)		AAQHS5772P	Total
No. of the Shareholders (I)	453	1	1622
No. of fully paid up equity shares held (IV)	5599977	2050000	21425649
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5599977	2050000	21425649
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.97	1.09	11.38
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	5599977	2050000	21425649
Class eg:y			
Total	5599977	2050000	21425649
Total as a % of Total Voting rights	2.97	1.09	11.38
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.97	1.09	11.38
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	5599977	2050000	21208739

Reason for not providing PAN			
Reason for not providing PAN			

