



DWARIKESH SUGAR INDUSTRIES LIMITED

Corp. off.; 511, Maker Chambers V, 221, Nariman Point, Mumbai – 400021. Tel.: 2283 2486, 2204 2945, Fax : 2204 7288
E Mail : dsilbom@dwarikesh.com Website : www.dwarikesh.com, CIN : L15421UP1993PLC018642

REF: DSIL/2022-23/391

October 31, 2022

Corporate Relationship Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort, Mumbai - 400 001
Fax: 22723 2082 /3132

National Stock Exchange of India Limited
“Exchange Plaza”
Bandra – Kurla Complex,
Bandra [E], Mumbai - 400 051

Scrip Code - 532610

Scrip Code - DWARKESH

Sub: Regulation 3(5) and 3(6) under SEBI (PIT) Regulations, 2015 - Compliance Certificate for Structural Digital Database

Dear Sir,

Pursuant to Regulation 3(5) and 3 (6) of SEBI (PIT) Regulations, 2015, we are pleased to forward herewith Compliance Certificate for Structural Digital Database for quarter ended September 30, 2022 as per format.

Kindly acknowledge the receipt of the same.

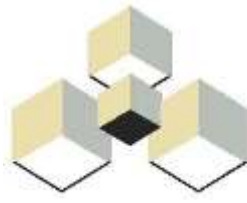
Thanking you,

Yours faithfully

B. J. Maheshwari

Managing Director & CS cum CCO
(DIN: 00002075)

Encl: As above.



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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **B. J. Maheshwari, Managing Director & Company Secretary cum Chief Compliance Officer** of **Dwarikesh Sugar Industries Limited**, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **1 (One)** number of events during the quarter ended and has captured **1 (One)** number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **NIL**

For **Dwarikesh Sugar Industries Limited**

B. J. Maheshwari
Managing Director & CS cum Compliance Officer
(DIN: 00002075)

Date: October 31, 2022

Place: Mumbai