



DWARIKESH SUGAR INDUSTRIES LIMITED

Corp. off.; 511, Maker Chambers V, 221, Nariman Point, Mumbai – 400021. Tel.: 2283 2486, 2204 2945, Fax : 2204 7288
E Mail : dsilbom@dwarikesh.com Website : www.dwarikesh.com, CIN : L15421UP1993PLC018642

REF: DSIL/2020-21/011

May 22, 2020

Corporate Relationship Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort, Mumbai - 400 001
Fax: 22723 2082 /3132

National Stock Exchange of India Limited
“Exchange Plaza”
Bandra – Kurla Complex,
Bandra [E], Mumbai - 400 051

Scrip Code – 532610

Scrip Code – DWARKESH

Sub: Regulation 40(9) for Physical Transfer

Dear Sir,

Pursuant to Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are pleased to forward herewith a certificate from practicing Company Secretary confirming that there are no shares pending for transfer, sub division, consolidation, renewal, exchange or endorsement for the half year ended March 31, 2020.

Kindly acknowledgement the receipt of the same.

Thanking you,

Yours Sincerely

Sd/-

B J Maheshwari
Managing Director & CS Cum CCO
(DIN: 00002075)

Encl: As above

VIJAY KUMAR MISHRA

B. Com (Hons), A C A . F C S

PARESH D PANDYA

B. Com., A.C.S.

VKM & ASSOCIATES

PRACTISING COMPANY SECRETARIES

116, Trinity Building, 1st Floor, 227, Dr. C. H. Street, Behind

Parsi Dairy, Marine Lines (E), Mumbai - 2. Tel. : 2207 7267

Fax : 2207 7542 Mob.: 93229 77388

E-mail: vkmassociales@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS
REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31ST MARCH, 2020.

We have examined all Memorandum of Transfers, Registers, files and other documents relating to **M/s. Dwarikesh Sugar Industries Limited** having its Registered Office at Dwarikesh Nagar-246 762, Dist. Bijnore, Uttar Pradesh maintained by **M/s. Universal Capital Securities Private Limited**, pertaining to transfer of equity shares of the company for the period from **1st October, 2019 to 31st March, 2020** for the purpose of issuing a certificate as per Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulation 2015 by, **M/s. Dwarikesh Sugar Industries Limited** (listed with BSE and NSE) and based on the information provided by Company's Registrar and Share Transfer Agent, We hereby certify that the Company has not received any request for Transfer of Shares, Transmission, Transposition, subdivision, consolidation, renewal, exchange or endorsement, name deletion/replacement, exchange of duplicate and split certificates etc. during half year ended on **31st March, 2020**.

For VKM & ASSOCIATES
Company Secretaries



Vijay Kumar Mishra

(Vijay Kumar Mishra)

Partner

C.P.No.4279

UDIN No. : F005023B000269254

Place : Mumbai

Date : 22/05/2020