

<b>General information about company</b>	
Scrip code	532610
NSE Symbol	DWARKESH
MSEI Symbol	
ISIN	INE366A01041
Name of the company	Dwarikesh Sugar Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	78833510			78833510	41.87	78833510		78833510	41.87
(B)	Public	43888	109467960			109467960	58.13	109467960		109467960	58.13
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	43895	188301470			188301470	100	188301470		188301470	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				41.87					78833510
(B)	Public				58.13					109169710
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					188003220

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	30993440			30993440	16.46	30993440		30993440	16.46
(d)	Any Other (specify)	2	47840070			47840070	25.41	47840070		47840070	25.41
Sub-Total (A)(1)		7	78833510			78833510	41.87	78833510		78833510	41.87
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	78833510			78833510	41.87	78833510		78833510	41.87
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	4978525			4978525	2.64	4978525		4978525	2.64
(e)	Foreign Portfolio Investors	4	424252			424252	0.23	424252		424252	0.23
(f)	Financial Institutions/ Banks	3	1033793			1033793	0.55	1033793		1033793	0.55
Sub-Total (B)(1)		8	6436570			6436570	3.42	6436570		6436570	3.42
(2)	Central Government/ State Government(s)/ President of India	1	250000			250000	0.13	250000		250000	0.13
Sub-Total (B)(2)		1	250000			250000	0.13	250000		250000	0.13
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	41484	50263002			50263002	26.69	50263002		50263002	26.69
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	18	18789067			18789067	9.98	18789067		18789067	9.98
(b)	NBFCs registered with RBI	8	6794917			6794917	3.61	6794917		6794917	3.61
(e)	Any Other (specify)	2369	26934404			26934404	14.3	26934404		26934404	14.3
Sub-Total (B)(3)		43879	102781390			102781390	54.58	102781390		102781390	54.58
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		43888	109467960			109467960	58.13	109467960		109467960	58.13

C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		43895	188301470			188301470	100	188301470		188301470	100
Total (A+B+C)		43895	188301470			188301470	100	188301470		188301470	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.46					30993440
(d)				25.41					47840070
Sub-Total (A) (1)				41.87					78833510
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				41.87					78833510
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				2.64					4978525
(e)				0.23					424252
(f)				0.55					1033793
Sub-Total (B) (1)				3.42					6436570
( 2 )				0.13					250000
Sub-Total (B) (2)				0.13					250000
( 3 )	Non-institutions								
(a(i))				26.69					50089052
(a(ii))				9.98					18789067
(b)				3.61					6794917
(e)				14.3					26810104
Sub-Total (B) (3)				54.58					102483140
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				58.13					109169710
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					188003220
Total (A+B+C )				100					188003220

<b>Individuals/Hindu undivided Family</b>						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	GAUTAM R MORARKA	GAUTAM R MORARKA - Karta C/o. Gautam R Morarka HUF	PRANAY GAUTAM MORARKA	PRIYANKA G MORARKA	SMRITI G MORARKA	Click here to go back
PAN (II)	AAKPM6075B	AADHG0441A	ANUPM8420D	AIOPM0635P	AFAPM9992C	Total
No. of fully paid up equity shares held (IV)	28266590	63000	1249710	512360	901780	30993440
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28266590	63000	1249710	512360	901780	30993440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg:X	28266590	63000	1249710	512360	901780	30993440
Class eg:y						
Total	28266590	63000	1249710	512360	901780	30993440
Total as a % of Total Voting rights	15.01	0.03	0.66	0.27	0.48	16.46
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
<b>Number of Locked in shares (XII)</b>						
No. (a)						
As a % of total Shares held (b)						
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	28266590	63000	1249710	512360	901780	30993440

Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	

<b>Any Other (specify)</b>			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	DWARIKESH TRADING COMPANY LTD	MORARKA FINANCE LIMITED	
PAN (II)	AABCD8270H	AAACM2821Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	26248890	21591180	47840070
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	26248890	21591180	47840070
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.94	11.47	25.41
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	26248890	21591180	47840070
Class eg:y			
Total	26248890	21591180	47840070
Total as a % of Total Voting rights	13.94	11.47	25.41
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.94	11.47	25.41
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity	26248890	21591180	47840070

shares held in dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

<b>Mutual Funds</b>		
Searial No.	1	
Name of the Shareholders (I)	DSP BLACKROCK SMALL CAP FUND	<a href="#">Click here to go back</a>
PAN (II)	AAAJD0430B	Total
No. of fully paid up equity shares held (IV)	4978525	4978525
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4978525	4978525
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.64	2.64
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4978525	4978525
Class eg:y		
Total	4978525	4978525
Total as a % of Total Voting rights	2.64	2.64
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.64	2.64
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4978525	4978525
Reason for not providing PAN		
Reason for not providing PAN		

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Serial No.	1	2	
Name of the Shareholders (I)	ANIL KUMAR GOEL	SEEMA GOEL	<a href="#">Click here to go back</a>
PAN (II)	AAJPG2552Q	AHAPG8015A	Total
No. of fully paid up equity shares held (IV)	7820000	3720000	11540000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7820000	3720000	11540000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.15	1.98	6.13
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	7820000	3720000	11540000
Class eg:y			
Total	7820000	3720000	11540000
Total as a % of Total Voting rights	4.15	1.98	6.13
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.15	1.98	6.13
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	7820000	3720000	11540000
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

<b>NBFCs registered with RBI</b>		
Serial No.	1	
Name of the Shareholders (I)	INDIANIVESH CAPITALS LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AAACJ6703C	Total
No. of fully paid up equity shares held (IV)	6669755	6669755
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6669755	6669755
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.54	3.54
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	6669755	6669755
Class eg:y		
Total	6669755	6669755
Total as a % of Total Voting rights	3.54	3.54
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.54	3.54
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	6669755	6669755
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	Non-Resident Indian (NRI)	Director or Director's Relatives	LLP	HUF	IEPF
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	436	352	781	2	14	782	1
No. of fully paid up equity shares held (IV)	3224449	15081528	3440809	10310	1717550	2982112	472210
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3224449	15081528	3440809	10310	1717550	2982112	472210
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.71	8.01	1.83	0.01	0.91	1.58	0.25
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3224449	15081528	3440809	10310	1717550	2982112	472210
Class eg:y							
Total	3224449	15081528	3440809	10310	1717550	2982112	472210
Total as a % of Total Voting rights	1.71	8.01	1.83	0.01	0.91	1.58	0.25
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.71	8.01	1.83	0.01	0.91	1.58	0.25
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3224449	15081528	3316509	10310	1717550	2982112	472210

Reason for not providing PAN							
Reason for not providing PAN							

<b>Any Other (specify)</b>			
Searial No.	8	9	
Category	Trusts	Bodies Corporate	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		IL AND FS SECURITIES SERVICES LTD	<a href="#">Click here to go back</a>
PAN (II)		AABCI5580K	Total
No. of the Shareholders (I)	1	1	2369
No. of fully paid up equity shares held (IV)	5436	2193693	26934404
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5436	2193693	26934404
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.16	14.3
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	5436	2193693	26934404
Class eg:y			
Total	5436	2193693	26934404
Total as a % of Total Voting rights	0	1.16	14.3
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.16	14.3
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	5436	2193693	26810104

Reason for not providing PAN			
Reason for not providing PAN			

