General information about company						
Scrip code	532610					
NSE Symbol	DWARKESH					
MSEI Symbol	NOTLISTED					
ISIN	INE366A01041					
Name of the company	Dwarikesh Sugar Industries Limited					
Whether company is SME	No					
Class of Security	Equity Shares					
Type of report	Quarterly					
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2018					
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date						
Shareholding pattern filed under	Regulation 31 (1) (b)					

	Declaration								
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public				
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No				
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No				
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No				
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No				
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No				
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No						
7	Whether company has equity shares with differential voting rights?	No	No	No	No				

	Table I - Summary Statement holding of specified securities										
	Category		No. of	No. Of Partly paid-	No. Of shares	Total nos.	Shareholding as a % of total no. of shares	Number of of securities		Rights held in	each class
Category (I)	of shareholder	Nos. Of shareholders	fully paid up equity shares held	up equity	underlying Depository	$V(VII) = \frac{1}{1000}$	No of Votin	g (XIV)	Rights	Total as a	
	(II)	(III)	(IV)	shares held (V)	Receipts (VI)	(IV)+(V)+ (VI)	1957) (VIII) As a % of (A+B+C2)	Class eg:	Class eg:y	Total	% of (A+B+C)
(A)	Promoter & Promoter Group	7	78833510			78833510	41.87	78833510		78833510	41.87
(B)	Public	42897	109467960			109467960	58.13	109467960		109467960	58.13
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	42904	188301470			188301470	100	188301470		188301470	100

		Table	e I - Summ	ary Statement	t holding of specified	secu	ırities			
Category	Category	Shares Underlying	No. of Shares Underlying	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	assuming full conversion of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in
(I)	shareholder (II)	Outstanding convertible securities (X)	Outstanding Warrants (Xi)		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)	
(A)	Promoter & Promoter Group				41.87					78833510
(B)	Public				58.13					109173350
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					188006860

			N£	No. Of	No. Of	Total nos.	Shareholding as a % of total no. of	Number of class of secu	Voting F urities (I	Rights held in X)	each
Sr.	Category & Name of the	Nos. Of shareholders	No. of fully paid up equity	Partly paid- up	shares underlying Depository	shares held (VII) =	shares (calculated as per	No of Votin	g (XIV)	Rights	Total as a %
	Shareholders (I)	(III)	shares held (IV)	equity shares held (V)	Receipts (VI)	(IV)+(V)+ (VI)	SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg:	Class eg:y	Total	of Total Voting rights
A	Table II - Statemen	t showing shar	eholding patt	ern of th	e Promoter a	nd Promoter (Group				
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	30993440			30993440	16.46	30993440		30993440	16.46
(d)	Any Other (specify)	2	47840070			47840070	25.41	47840070		47840070	25.41
Sub-Total (A)(1)		7	78833510			78833510	41.87	78833510		78833510	41.87
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		7	78833510			78833510	41.87	78833510		78833510	41.87
В	Table III - Statemen	nt showing sha	reholding pat	tern of t	he Public sha	reholder					
(1)	Institutions										
(a)	Mutual Funds	1	4978525			4978525	2.64	4978525		4978525	2.64
(e)	Foreign Portfolio Investors	5	803264			803264	0.43	803264		803264	0.43
(f)	Financial Institutions/ Banks	2	497211			497211	0.26	497211		497211	0.26
Sub-Total (B)(1)		8	6279000			6279000	3.33	6279000		6279000	3.33
(2)	Central Government/ State Government(s)/ President of India	1	250000			250000	0.13	250000		250000	0.13
Sub-Total (B)(2)		1	250000			250000	0.13	250000		250000	0.13
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	40620	51123406			51123406	27.15	51123406		51123406	27.15
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	20	19014327			19014327	10.1	19014327		19014327	10.1
(b)	NBFCs registered with RBI	10	6797134			6797134	3.61	6797134		6797134	3.61
(e)	Any Other (specify)	2238	26004093			26004093	13.81	26004093		26004093	13.81
Sub-Total (B)(3)		42888	102938960			102938960	54.67	102938960		102938960	54.67
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		42897	109467960			109467960	58.13	109467960		109467960	58.13

1	C	Table IV - Statemen	ole IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
	Total (A+B+C2)		42904 188301470 188301470 100 188301470 100									
	Total (A+B+C)		42904	188301470			188301470	100	188301470		188301470	100

Ta	able II - Stat	tement shov	ving shareholdi	ng pattern of the Promo	ter a	nd Pro	mot	er Gro	ир
Sr.	No. Of Shares Underlying Outstanding	No. of Shares Underlying	No. Of Shares Underlying Outstanding convertible	a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in
S.	convertible securities (X)	Outstanding Warrants (Xi)	securities and No. Of Warrants (Xi) (a)		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
A	Table II - Stat	ement showing	g shareholding patte	ern of the Promoter and Promot	er Gro	oup			
(1)	Indian								
(a)				16.46					30993440
(d)				25.41					47840070
Sub-Total (A) (1)				41.87					78833510
(2)	Foreign					•	•	•	
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				41.87					78833510
В	Table III - Sta	tement showin	g shareholding patt	tern of the Public shareholder	1	•		•	
(1)	Institutions								
(a)				2.64					4978525
(e)				0.43					803264
(f)				0.26					497211
Sub-Total (B) (1)				3.33					6279000
(2)				0.13					250000
Sub-Total (B) (2)				0.13					250000
(3)	Non-institutio	ns							
(a(i))				27.15					50953096
(a(ii))				10.1					19014327
(b)				3.61					6797134
(e)				13.81					25879793
Sub-Total (B) (3)				54.67					102644350
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				58.13					109173350
С	Table IV - Sta	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder							
Total (A+B+C2)				100					188006860
Total (A+B+C				100					188006860

		Individuals/Hindu ur	ndivided Family			
Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	GAUTAM R MORARKA	GAUTAM R MORARKA - Karta C/o. Gautam R Morarka HUF	PRANAY GAUTAM MORARKA	PRIYANKA G MORARKA	SMRITI G MORARKA	Click here to go back
PAN (II)	AAKPM6075B	AADHG0441A	ANUPM8420D	AIOPM0635P	AFAPM9992C	Total
No. of fully paid up equity shares held (IV)	28266590	63000	1249710	512360	901780	30993440
No. Of Partly paid- up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28266590	63000	1249710	512360	901780	30993440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Voting	Rights held in eac	h class of securities (IX)				
Class eg:X	28266590	63000	1249710	512360	901780	30993440
Class eg:y						
Total	28266590	63000	1249710	512360	901780	30993440
Total as a % of Total Voting rights	15.01	0.03	0.66	0.27	0.48	16.46
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Locked	in shares (XII)	·	·		<u>. </u>	
No. (a)						
As a % of total Shares held (b)						
Number of Shares	pledged or otherw	vise encumbered (XIII)				
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	28266590	63000	1249710	512360	901780	30993440

Reason for not prov	Reason for not providing PAN							
Reason for not providing PAN								
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter			

	Any Othe	r (specify)			
Searial No.	1	2			
Category	Bodies Corporate	Bodies Corporate	Click here to go back		
Name of the Shareholders (I)	DWARIKESH TRADING COMPANY LTD	MORARKA FINANCE LIMITED			
PAN (II)	AABCD8270H	AAACM2821Q	Total		
No. of the Shareholders (I)	1	1	2		
No. of fully paid up equity shares held (IV)	26248890	21591180	47840070		
No. Of Partly paid- up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	26248890	21591180	47840070		
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.94	11.47	25.41		
Number of Voting	Rights held in each class of securities (IX)				
Class eg: X	26248890	21591180	47840070		
Class eg:y					
Total	26248890	21591180	47840070		
Total as a % of Total Voting rights	13.94	11.47	25.41		
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.94	11.47	25.41		
Number of Locked	in shares (XII)				
No. (a)					
As a % of total Shares held (b)					
Number of Shares	pledged or otherwise encumbered (XIII)				
No. (a)					
As a % of total Shares held (b)					
Number of equity	26248890	21591180	47840070		

shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter Group					

	Mutual Funds					
Searial No.	1					
Name of the Shareholders (I)	DSP SMALL CAP FUND	Click here to go back				
PAN (II)	AAAJD0430B	Total				
No. of fully paid up equity shares held (IV)	4978525	4978525				
No. Of Partly paid- up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4978525	4978525				
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.64	2.64				
Number of Voting	Rights held in each class of securities (IX)					
Class eg: X	4978525	4978525				
Class eg:y						
Total	4978525	4978525				
Total as a % of Total Voting rights	2.64	2.64				
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.64	2.64				
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	4978525	4978525				
Reason for not prov	viding PAN					
Reason for not providing PAN						

Individ	uals - ii. Individual shareholders ho	olding nominal share capit	al in excess of Rs. 2 lakhs.
Searial No.	1	2	
Name of the Shareholders (I)	ANIL KUMAR GOEL	SEEMA GOEL	Click here to go back
PAN (II)	AAJPG2552Q	AHAPG8015A	Total
No. of fully paid up equity shares held (IV)	7820000	3720000	11540000
No. Of Partly paid- up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7820000	3720000	11540000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.15	1.98	6.13
Number of Voting	Rights held in each class of securities (IX)		
Class eg: X	7820000	3720000	11540000
Class eg:y			
Total	7820000	3720000	11540000
Total as a % of Total Voting rights	4.15	1.98	6.13
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.15	1.98	6.13
Number of Locked	in shares (XII)	•	
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	7820000	3720000	11540000
Reason for not pro	viding PAN	•	
Reason for not providing PAN			

NBFCs registered with RBI					
Searial No.	1				
Name of the Shareholders (I)	INDIANIVESH CAPITALS LIMITED	Click here to go back			
PAN (II)	AAACJ6703C	Total			
No. of fully paid up equity shares held (IV)	6669962	6669962			
No. Of Partly paid- up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6669962	6669962			
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.54	3.54			
Number of Voting l	Rights held in each class of securities (IX)				
Class eg: X	6669962	6669962			
Class eg:y					
Total	6669962	6669962			
Total as a % of Total Voting rights	3.54	3.54			
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.54	3.54			
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	6669962	6669962			
Reason for not providing PAN					
Reason for not providing PAN					

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	Non-Resident Indian (NRI)	Director or Director's Relatives	LLP	HUF	IEPF
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	274	320	763	2	18	859	1
No. of fully paid up equity shares held (IV)	3235573	13509196	3727516	10310	1669827	3377025	469210
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3235573	13509196	3727516	10310	1669827	3377025	469210
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.72	7.17	1.98	0.01	0.89	1.79	0.25
Number of Voting	Rights held in eac	h class of securit	ies (IX)	•			I.
Class eg: X	3235573	13509196	3727516	10310	1669827	3377025	469210
Class eg:y							
Total	3235573	13509196	3727516	10310	1669827	3377025	469210
Total as a % of Total Voting rights	1.72	7.17	1.98	0.01	0.89	1.79	0.25
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.72	7.17	1.98	0.01	0.89	1.79	0.25
Number of Locked in shares (XII)							
No. (a) As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3235573	13509196	3603216	10310	740863	3377025	469210

Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Searial No.	8	9				
Category	Trusts	Bodies Corporate				
Category / More than 1 percentage	Category	More than 1 percentage of shareholding				
Name of the Shareholders (I)		IL AND FS SECURITIES SERVICES LTD	Click here to go back			
PAN (II)		AABCI5580K	Total			
No. of the Shareholders (I)	1	1	2238			
No. of fully paid up equity shares held (IV)	5436	2193693	26004093			
No. Of Partly paid- up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5436	2193693	26004093			
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.16	13.81			
Number of Voting	Rights held in ea	ach class of securities (IX)				
Class eg: X	5436	2193693	26004093			
Class eg:y						
Total	5436	2193693	26004093			
Total as a % of Total Voting rights	0	1.16	13.81			
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.16	13.81			
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	5436	2193693	24950829			

Reason for not providing PAN					
Reason for not providing PAN					