

<b>General information about company</b>	
Scrip code	532610
NSE Symbol	DWARKESH
MSEI Symbol	NOTLISTED
ISIN	INE366A01041
Name of the company	Dwarikesh Sugar Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	78833510			78833510	41.87	78833510		78833510	41.87
(B)	Public	38307	109467960			109467960	58.13	109467960		109467960	58.13
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	38314	188301470			188301470	100	188301470		188301470	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				41.87					78833510
(B)	Public				58.13					109209510
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					188043020

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	30993440			30993440	16.46	30993440		30993440	16.46
(d)	Any Other (specify)	2	47840070			47840070	25.41	47840070		47840070	25.41
Sub-Total (A)(1)		7	78833510			78833510	41.87	78833510		78833510	41.87
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	78833510			78833510	41.87	78833510		78833510	41.87
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	4978525			4978525	2.64	4978525		4978525	2.64
(e)	Foreign Portfolio Investors	1	5100			5100	0	5100		5100	0
(f)	Financial Institutions/ Banks	4	864425			864425	0.46	864425		864425	0.46
Sub-Total (B)(1)		6	5848050			5848050	3.11	5848050		5848050	3.11
(2)	Central Government/ State Government (s)/ President of India	1	250000			250000	0.13	250000		250000	0.13
Sub-Total (B)(2)		1	250000			250000	0.13	250000		250000	0.13
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	36253	51558347			51558347	27.38	51558347		51558347	27.38
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	26	28893432			28893432	15.34	28893432		28893432	15.34
(b)	NBFCs registered with RBI	2	121583			121583	0.06	121583		121583	0.06
(c)	Any Other (specify)	2019	22796548			22796548	12.11	22796548		22796548	12.11
Sub-Total (B)(3)		38300	103369910			103369910	54.9	103369910		103369910	54.9
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		38307	109467960			109467960	58.13	109467960		109467960	58.13
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		38314	188301470			188301470	100	188301470		188301470	100
Total (A+B+C)		38314	188301470			188301470	100	188301470		188301470	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.46					30993440
(d)				25.41					47840070
Sub-Total (A) (1)				41.87					78833510
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				41.87					78833510
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				2.64					4978525
(e)				0					5100
(f)				0.46					864425
Sub-Total (B) (1)				3.11					5848050
( 2 )				0.13					250000
Sub-Total (B) (2)				0.13					250000
( 3 )	Non-institutions								
(a(i))				27.38					51412897
(a(ii))				15.34					28893432
(b)				0.06					121583
(e)				12.11					22683548
Sub-Total (B) (3)				54.9					103111460
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				58.13					109209510
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					188043020
Total (A+B+C )				100					188043020

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	GAUTAM R MORARKA	GAUTAM R MORARKA HUF	PRANAY GAUTAM MORARKA	PRIYANKA G MORARKA	SMRITI G MORARKA	Click here to go back
PAN (II)	AAKPM6075B	AADHG0441A	ANUPM8420D	AIOPM0635P	AFAPM9992C	Total
No. of fully paid up equity shares held (IV)	28266590	63000	1249710	512360	901780	30993440
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28266590	63000	1249710	512360	901780	30993440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	28266590	63000	1249710	512360	901780	30993440
Class eg:y						
Total	28266590	63000	1249710	512360	901780	30993440
Total as a % of Total Voting rights	15.01	0.03	0.66	0.27	0.48	16.46
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	28266590	63000	1249710	512360	901780	30993440
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type						

<b>Any Other (specify)</b>			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	DWARIKESH TRADING COMPANY LTD	MORARKA FINANCE LIMITED	
PAN (II)	AABCD8270H	AAACM2821Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	26248890	21591180	47840070
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	26248890	21591180	47840070
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.94	11.47	25.41
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	26248890	21591180	47840070
Class eg:y			
Total	26248890	21591180	47840070
Total as a % of Total Voting rights	13.94	11.47	25.41
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	13.94	11.47	25.41
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	26248890	21591180	47840070
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			
Shareholder type			



<b>Mutual Funds</b>		
Serial No.	1	
Name of the Shareholders (I)	DSP SMALL CAP FUND	<a href="#">Click here to go back</a>
PAN (II)	AAAJD0430B	Total
No. of fully paid up equity shares held (IV)	4978525	4978525
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4978525	4978525
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.64	2.64
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	4978525	4978525
Class eg:y		
Total	4978525	4978525
Total as a % of Total Voting rights	2.64	2.64
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.64	2.64
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4978525	4978525
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>				
Serial No.	1	2	3	
Name of the Shareholders (I)	ANIL KUMAR GOEL	SEEMA GOEL	KRISHNA KUMAR KUMBHAT	<a href="#">Click here to go back</a>
PAN (II)	AAJPG2552Q	AHAPG8015A	AFOPK3469E	Total
No. of fully paid up equity shares held (IV)	8170000	3720000	6621157	18511157
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8170000	3720000	6621157	18511157
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.34	1.98	3.52	9.83
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	8170000	3720000	6621157	18511157
Class eg:y				
Total	8170000	3720000	6621157	18511157
Total as a % of Total Voting rights	4.34	1.98	3.52	9.83
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.34	1.98	3.52	9.83
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	8170000	3720000	6621157	18511157
<b>Reason for not providing PAN</b>				
Reason for not providing PAN				

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	Non-Resident Indian (NRI)	Director or Director's Relatives	LLP	HUF	IEPF
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	189	304	677	2	16	830	1
No. of fully paid up equity shares held (IV)	1239188	12724494	3517073	10310	1650350	3216563	438570
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1239188	12724494	3517073	10310	1650350	3216563	438570
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.66	6.76	1.87	0.01	0.88	1.71	0.23
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1239188	12724494	3517073	10310	1650350	3216563	438570
Class eg:y							
Total	1239188	12724494	3517073	10310	1650350	3216563	438570
Total as a % of Total Voting rights	0.66	6.76	1.87	0.01	0.88	1.71	0.23
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.66	6.76	1.87	0.01	0.88	1.71	0.23
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1239188	12724494	3404073	10310	1650350	3216563	438570
Reason for not providing PAN							
Reason for not providing PAN							

<b>Any Other (specify)</b>		
Serial No.	8	
Category	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	FINQUEST SECURITIES PVT LTD	<a href="#">Click here to go back</a>
PAN (II)	AABCB7028F	Total
No. of the Shareholders (I)	1	2019
No. of fully paid up equity shares held (IV)	2219700	22796548
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2219700	22796548
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.18	12.12
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	2219700	22796548
Class eg:y		
Total	2219700	22796548
Total as a % of Total Voting rights	1.18	12.12
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.18	12.12
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2219700	22683548
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		

**significant beneficial owners**

s of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				
m ka C	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
	AAKPM6075B		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		30.36	30.36	0	No	No
<b>Total:</b>										<b>30.36</b>	<b>30.36</b>	<b>0</b>		
i ka	AFAPM9992C		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0	0	No	No
<b>Total:</b>										<b>0</b>	<b>0</b>	<b>0</b>		
ika ka	AIOPM0635P		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0	0	No	No
<b>Total:</b>										<b>0</b>	<b>0</b>	<b>0</b>		
y ka	ANUPM8420D		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0	0	No	No
<b>Total:</b>										<b>0</b>	<b>0</b>	<b>0</b>		
m ka C	AAKPM6075B		India		Morarka Finance Ltd	AAACM2821Q		India		27.62	27.62	0	No	No
<b>Total:</b>										<b>57.98</b>	<b>57.98</b>	<b>0</b>		
i ka	AFAPM9992C		India		Morarka Finance Ltd	AAACM2821Q		India		0	0	0	No	No
<b>Total:</b>										<b>27.62</b>	<b>27.62</b>	<b>0</b>		
y ka	ANUPM8420D		India		Morarka Finance Ltd	AAACM2821Q		India		0	0	0	No	No
<b>Total:</b>										<b>27.62</b>	<b>27.62</b>	<b>0</b>		
m ka C	AAKPM6075B		India		G R Morarka HUF	AADHG0441A		India		16.45	16.45	0	No	No
<b>Total:</b>										<b>74.43</b>	<b>74.43</b>	<b>0</b>		
i ka	AFAPM9992C		India		G R Morarka HUF	AADHG0441A		India		0	0	0	No	No
<b>Total:</b>										<b>44.07</b>	<b>44.07</b>	<b>0</b>		
ika ka	AIOPM0635P		India		G R Morarka HUF	AADHG0441A		India		0	0	0	No	No
<b>Total:</b>										<b>44.07</b>	<b>44.07</b>	<b>0</b>		
y ka	ANUPM8420D		India		G R Morarka HUF	AADHG0441A		India		0	0	0	No	No
<b>Total:</b>										<b>44.07</b>	<b>44.07</b>	<b>0</b>		