

General information about company	
Scrip code	532610
NSE Symbol	DWARKESH
MSEI Symbol	
ISIN	INE366A01041
Name of the company	Dwarikesh Sugar Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	78833510			78833510	41.87	78833510		78833510	41.87
(B)	Public	36403	109467960			109467960	58.13	109467960		109467960	58.13
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	36410	188301470			188301470	100	188301470		188301470	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				41.87					78833510
(B)	Public				58.13					109209510
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					188043020

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights	Class eg: X	Class eg:y	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	30993440			30993440	16.46	30993440		30993440	16.46
(d)	Any Other (specify)	2	47840070			47840070	25.41	47840070		47840070	25.41
Sub-Total (A)(1)		7	78833510			78833510	41.87	78833510		78833510	41.87
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	78833510			78833510	41.87	78833510		78833510	41.87
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	4978525			4978525	2.64	4978525		4978525	2.64
(c)	Alternate Investment Funds	1	295000			295000	0.16	295000		295000	0.16
(e)	Foreign Portfolio Investors	1	5100			5100	0	5100		5100	0
(f)	Financial Institutions/ Banks	1	352704			352704	0.19	352704		352704	0.19
Sub-Total (B)(1)		4	5631329			5631329	2.99	5631329		5631329	2.99
(2)	Central Government/ State Government(s)/ President of India	1	250000			250000	0.13	250000		250000	0.13
Sub-Total (B)(2)		1	250000			250000	0.13	250000		250000	0.13
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	34322	51175393			51175393	27.18	51175393		51175393	27.18
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	27	29239360			29239360	15.53	29239360		29239360	15.53
(b)	NBFCs registered with RBI	1	72500			72500	0.04	72500		72500	0.04
(e)	Any Other (specify)	2048	23099378			23099378	12.27	23099378		23099378	12.27
Sub-Total (B)(3)		36398	103586631			103586631	55.01	103586631		103586631	55.01
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		36403	109467960			109467960	58.13	109467960		109467960	58.13
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
		36410	188301470			188301470	100	188301470		188301470	100

Total (A+B+C2)											
Total (A+B+C)		36410	188301470			188301470	100	188301470		188301470	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.46					30993440
(d)				25.41					47840070
Sub-Total (A) (1)				41.87					78833510
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				41.87					78833510
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				2.64					4978525
(c)				0.16					295000
(e)				0					5100
(f)				0.19					352704
Sub-Total (B) (1)				2.99					5631329
(2)				0.13					250000
Sub-Total (B) (2)				0.13					250000
(3)	Non-institutions								
(a(i))				27.18					51029943
(a(ii))				15.53					29239360
(b)				0.04					72500
(e)				12.27					22986378
Sub-Total (B) (3)				55.01					103328181
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				58.13					109209510
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					188043020
Total (A+B+C)				100					188043020

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	GAUTAM R MORARKA	GAUTAM R MORARKA HUF	PRANAY GAUTAM MORARKA	PRIYANKA G MORARKA	SMRITI G MORARKA	Click here to go back
PAN (II)	AAKPM6075B	AADHG0441A	ANUPM8420D	AIOPM0635P	AFAPM9992C	Total
No. of fully paid up equity shares held (IV)	28266590	63000	1249710	512360	901780	30993440
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	28266590	63000	1249710	512360	901780	30993440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	28266590	63000	1249710	512360	901780	30993440
Class eg:y						
Total	28266590	63000	1249710	512360	901780	30993440
Total as a % of Total Voting rights	15.01	0.03	0.66	0.27	0.48	16.46
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	28266590	63000	1249710	512360	901780	30993440
Reason for not providing PAN						
Reason for not providing PAN						

Shareholder type						
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Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	DWARIKESH TRADING COMPANY LTD	MORARKA FINANCE LIMITED	
PAN (II)	AABCD8270H	AAACM2821Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	26248890	21591180	47840070
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	26248890	21591180	47840070
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.94	11.47	25.41
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	26248890	21591180	47840070
Class eg:y			
Total	26248890	21591180	47840070
Total as a % of Total Voting rights	13.94	11.47	25.41
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.94	11.47	25.41
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	26248890	21591180	47840070

Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type			

Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	DSP SMALL CAP FUND	Click here to go back
PAN (II)	AAAJD0430B	Total
No. of fully paid up equity shares held (IV)	4978525	4978525
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4978525	4978525
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.64	2.64
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4978525	4978525
Class eg:y		
Total	4978525	4978525
Total as a % of Total Voting rights	2.64	2.64
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.64	2.64
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4978525	4978525
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.				
Serial No.	1	2	3	
Name of the Shareholders (I)	ANIL KUMAR GOEL	SEEMA GOEL	KRISHNA KUMAR KUMBHAT	Click here to go back
PAN (II)	AAJPG2552Q	AHAPG8015A	AFOPK3469E	Total
No. of fully paid up equity shares held (IV)	8170000	3720000	6647462	18537462
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	8170000	3720000	6647462	18537462
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.34	1.98	3.53	9.84
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	8170000	3720000	6647462	18537462
Class eg:y				
Total	8170000	3720000	6647462	18537462
Total as a % of Total Voting rights	4.34	1.98	3.53	9.84
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.34	1.98	3.53	9.84
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	8170000	3720000	6647462	18537462
Reason for not providing PAN				
Reason for not providing PAN				

Reason for not providing PAN								
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Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	2048
No. of fully paid up equity shares held (IV)	23099378
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	23099378
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.28
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	23099378
Class eg:y	
Total	23099378
Total as a % of Total Voting rights	12.28
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.28
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	22986378
Reason for not providing PAN	
Reason for not providing PAN	

significant beneficial owners

Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					E c a o s i b i r
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
Mutam Morarka PAC	AAKPM6075B		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		30.36	30.36		No	No	24
Total:										30.36	30.36	0			
Mriti Morarka C	AFAPM9992C		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0		No	No	24
Total:										0	0	0			
Miyanka Morarka C	AIOPM0635P		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0		No	No	24
Total:										0	0	0			
Miyani Morarka C	ANUPM8420D		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0		No	No	24
Total:										0	0	0			
Mutam Morarka PAC	AAKPM6075B		India		Morarka Finance Ltd	AAACM2821Q		India		27.62	27.62		No	No	24
Total:										57.98	57.98	0			
Mriti Morarka C	AFAPM9992C		India		Morarka Finance Ltd	AAACM2821Q		India		0	0		No	No	24
Total:										27.62	27.62	0			
Miyani Morarka C	ANUPM8420D		India		Morarka Finance Ltd	AAACM2821Q		India		0	0		No	No	24
Total:										27.62	27.62	0			
Mutam Morarka PAC	AAKPM6075B		India		G R Morarka HUF	AADHG0441A		India		16.45	16.45		No	No	24
Total:										74.43	74.43	0			
Mriti Morarka C	AFAPM9992C		India		G R Morarka HUF	AADHG0441A		India		0	0		No	No	24
Total:										44.07	44.07	0			
Miyanka Morarka C	AIOPM0635P		India		G R Morarka HUF	AADHG0441A		India		0	0		No	No	24
Total:										44.07	44.07	0			
Miyani Morarka C	ANUPM8420D		India		G R Morarka HUF	AADHG0441A		India		0	0		No	No	24
Total:										44.07	44.07	0			