

(1) Indian									
a) Individual/ HUF	3,13,93,440	-	3,13,93,440	16.67	31393440	-	31393440	16.67	-
b) Central Govt									
c) State Govt(s)									
d) Bodies Corp.	4,78,40,070	-	4,78,40,070	25.41	47840070	-	47840070	25.41	-
e) Banks / FI									
f) Any other									
Total shareholding of Promoter (A)	7,92,33,510	-	7,92,33,510	42.08	79233510	-	79233510	42.08	-
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	62,61,017	-	62,61,017	3.32	7354791	-	7354791	3.91	0.59
b) Banks / FI	5,94,825	-	5,94,825	0.32		-			-0.32
c) Central Govt	2,50,000	-	2,50,000	0.13	250000	-	250000	0.13	-
d) State Govt(s)									
e) Venture Capital Funds									
f) Insurance Companies									
g) FII's/FPI	42,400	-	42,400	0.02	716589	-	716589	0.38	0.36
h) Foreign Venture Capital Funds									
i) Others (specify)									
Sub-total (B)(1):-	71,48,242	-	71,48,242	3.79	8321380	-	8321380	4.42	0.63
2. Non-Institutions									
a) Bodies Corp.	1,09,04,536	-	1,09,04,536	5.79	10517929	-	10517929	5.59	-0.2
i) Indian									
ii) Overseas									
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	4,87,66,640	1,45,450	4,89,12,090	25.98	52469478	139800	52609278	27.94	1.96
ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	2,85,27,079	-	2,85,27,079	15.15	26582614	-	26582614	14.11	-1.04
c) Others (specify)	75,16,558	-	75,16,558	3.99	5707337	-	5707337	3.03	-0.96
Non Resident Indians	33,68,694	1,13,000	34,81,694	1.85	2741863	113000	2854863	1.52	-0.33
Overseas Corporate Bodies									
Foreign Nationals									
Clearing Members	8,65,921	-	8,65,921	0.46	1482681	-	1482681	0.79	0.33
Trusts / LLPs	17,11,840	-	17,11,840	0.91	991878	-	991878	0.52	-0.39
Foreign Bodies - D R									
Sub-total (B)(2):-	10,16,61,268	2,58,450	10,19,19,718	54.12	100493780	252800	100746580	53.5	-0.62
Total Public Shareholding(B)= (B)(1) + (B)(2)	10,88,09,510	2,58,450	10,90,67,960	57.92	108815160	252800	109067960	57.92	
C. Shares held by Custodian									
for GDRs & ADRs									
Grand Total (A+B+C)	18,80,43,020	2,58,450	18,83,01,470	100	188048670	252800	188301470	100	

B) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to	
1	Gautam R Morarka	2,85,66,590	15.17	-	2,85,66,590	15.17	-	-
2	Pranay Gautam Morarka	12,49,710	0.66	-	12,49,710	0.66	-	-
3	Priyanka G Morarka	5,12,360	0.27	-	5,12,360	0.27	-	-
4	S G Morarka	10,01,780	0.53	-	10,01,780	0.53	-	-
5	Dwarikesh Trading Co. Ltd	2,62,48,890	13.94	-	2,62,48,890	13.94	-	-
6	Morarka Finance Limited	2,15,91,180	11.47	-	2,15,91,180	11.47	-	-
7	Gautam Morarka- Karta – C/o Gautam R Morarka HUF	63,000	0.03	-	63,000	0.03	-	-

C) Change in Promoters' Shareholding – Not Applicable

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year				
	Market acquisition on 12.03.2020				
	Market sale				
	At the end of the year				

D) Shareholding Pattern of top ten Shareholders:

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year (31.03.2020)		Shareholding at the end of the year (31.03.2021)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	ANIL KUMAR GOEL	82,00,000	4.35	82,00,000	4.35
2.	DSP SMALL CAP FUND	62,61,017	3.32	73,54,791	3.9
3.	VLS FINANCE LTD	10,20,000	0.54	39,80,428	2.11
4.	SEEMA GOEL	38,30,000	2.03	38,30,000	2.03
5.	PREMIER CREDIT CAPITAL LIMITED	21,67,394	1.15	21,67,394	1.15
6.	TARUN SAWHNEY	0	0	12,00,000	0.63
7.	SANJAY DATTA HUF	0	0	12,00,000	0.63
8.	PRAMOD KUMAR SARAF	8,81,000	0.46	8,81,000	0.46
9.	NITIN TANDON	0	0	7,25,000	0.38
10.	RENU	0	0	6,50,000	0.34

E) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year (01/04/2020)		Shareholding at the end of the year (31/03/2021)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Gautam R Morarka	2,85,66,590	15.17	2,85,66,590	15.17
2.	B J Maheshwari	-	-	-	-
3.	Vijay S Banka	-	-	-	-

V. INDEBTEDNESS –

Indebtedness of the Company including interest outstanding/accrued but not due for payment

Particulars of Remuneration	Secured Loans excluding deposits	*Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year as on April 1, 2020				
i) Principal Amount	8,33,33,43,632	15,00,00,000	-	8,48,33,43,632
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	2,56,24,997	-	-	2,56,24,997
Total (i+ii+iii)		15,00,00,000	-	8,50,89,68,629
Change in Indebtedness during the financial year				
* Addition		-	-	-
* Reduction	2,24,86,28,276	15,00,00,000	-	2,39,86,28,726
Net Change	(2,24,86,28,276)	(15,00,00,000)	-	(2,39,86,28,726)
Indebtedness at the end of the financial year as on 31 st March, 2021				
i) Principal Amount	6,08,47,14,906	-	-	6,08,47,14,906
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	1,08,85,675	-	-	1,08,85,675
Total (i+ii+iii)	6,09,56,00,581	-	-	6,09,56,00,581

* As per IND-AS, unsecured loans include preference shares.

VI. Remuneration of Directors and Key Managerial Personnel

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Amount in lakhs)

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager			Total Amount
		Shri Gautam R. Morarka (Executive Chairman & Whole-time director)	Shri Vijay S. Banka (Managing Director)	Shri B. J. Maheshwari (Managing Director, CS cum CCO)	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	240.02	115.97	116.35	472.34

	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission - as % of profit-5%	372.66	-	-	372.66
5	Others, please specify	-	-	-	-
	Total (A)	612.68	115.97	116.35	845.00
	Ceiling as per the Act				1287.77

B. Remuneration to other Directors

(Amount in lakhs)

SN.	Particulars of Remuneration	Name of Directors					Total Amount Rs.
		Rs.					
1	Independent Directors	Shri B K Agarwal	Shri K N Prithviraj	Ms. Nina Chatrath	Shri Gopal B Hosur	Shri Rajan K Medhekar	
	Fee for attending board committee	3.50	3.50	3.20	1.40	1.40	13.00
	Commission						
	Others, please specify						
	Total (1)	3.50	3.50	3.20	1.40	1.40	13.00
2	Other Non-Executive Directors	Not Applicable					
	Fee for attending board committee						
	Commission						
	Others, (Salaries, allowances, Bonus, Leave encashment, Co's contribution to EPF)						
	Total (2)						
	Total = (1+2)	3.50	3.50	3.20	1.40	1.40	13.00
	Total Managerial Remuneration	3.50	3.50	3.20	1.40	1.40	13.00
	Overall Ceiling as per the Act						128.78

* Overall ceiling is within the ceiling of remuneration as defined u/s 198 of the Companies Act, 2013

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

We have Managing Director acting as CS, hence -NOT APPLICABLE

(Amount in lakhs)

SN	Particulars of Remuneration	Key Managerial Personnel	
		Shri Alok Lohia (CFO)	Total
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	47.25	47.25
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961		-
2	Stock Option	-	
3	Sweat Equity		
4	Commission		
	- as % of profit		
	others, specify...		
5	Others, please specify		
	Total	47.25	47.25

VII. Penalties / Punishment/ Compounding of Offences:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY:	NIL				
Penalty					
Punishment					
Compounding					
B. DIRECTORS	NIL				
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT	NIL				
Penalty					
Punishment					
Compounding					

By Order of the Board
For **DWARIKESH SUGAR INDUSTRIES LIMITED**

Sd/-
B J Maheshwari
Managing Director & CS cum CCO
(DIN - 00002075)

Sd/-
Vijay S Banka
Managing Director
(DIN - 00963355)

Place: Mumbai

Dated: May 12, 2021