

<b>General information about company</b>	
Scrip code	532610
Name of the company	Dwarikesh Sugar Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	7883351			7883351	41.87	7883351		7883351	41.87
(B)	Public	11382	10946796			10946796	58.13	10946796		10946796	58.13
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	11389	18830147			18830147	100	18830147		18830147	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				41.87					7883351
(B)	Public				58.13					10893055
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					18776406

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	3099344			3099344	16.46	3099344		3099344	16.46
(d)	Any Other (specify)	2	4784007			4784007	25.41	4784007		4784007	25.41
Sub-Total (A)(1)		7	7883351			7883351	41.87	7883351		7883351	41.87
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	7883351			7883351	41.87	7883351		7883351	41.87
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	1154600			1154600	6.13	1154600		1154600	6.13
(e)	Foreign Portfolio Investors	6	262208			262208	1.39	262208		262208	1.39
(f)	Financial Institutions/ Banks	2	47210			47210	0.25	47210		47210	0.25
(g)	Insurance Companies	1	75000			75000	0.4	75000		75000	0.4
Sub-Total (B)(1)		14	1539018			1539018	8.17	1539018		1539018	8.17
( 2 )	Central Government/ State Government(s)/ President of India	1	25000			25000	0.13	25000		25000	0.13
Sub-Total (B)(2)		1	25000			25000	0.13	25000		25000	0.13
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10129	3493911			3493911	18.55	3493911		3493911	18.55
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	31	2614468			2614468	13.88	2614468		2614468	13.88
(b)	NBFCs registered with RBI	2	4020			4020	0.02	4020		4020	0.02
(e)	Any Other (specify)	1205	3270379			3270379	17.37	3270379		3270379	17.37
Sub-Total (B)(3)		11367	9382778			9382778	49.83	9382778		9382778	49.83
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		11382	10946796			10946796	58.13	10946796		10946796	58.13

(B)(2)+(B) (3)											
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		11389	18830147			18830147	100	18830147		18830147	100
Total (A+B+C)		11389	18830147			18830147	100	18830147		18830147	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.46					3099344
(d)				25.41					4784007
Sub-Total (A) (1)				41.87					7883351
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				41.87					7883351
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				6.13					1154600
(e)				1.39					262208
(f)				0.25					47210
(g)				0.4					75000
Sub-Total (B) (1)				8.17					1539018
( 2 )				0.13					25000
Sub-Total (B) (2)				0.13					25000
( 3 )	Non-institutions								
(a(i))				18.55					3463556
(a(ii))				13.88					2614468
(b)				0.02					4020
(e)				17.37					3246993
Sub-Total (B) (3)				49.83					9329037
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				58.13					10893055
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					18776406
Total (A+B+C )				100					18776406

<b>Individuals/Hindu undivided Family</b>						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	GAUTAM R MORARKA	GAUTAM R MORARKA - Karta C/o. Gautam R. Morarka HUF	PRANAY GAUTAM MORARKA	PRIYANKA G MORARKA	SMRITI G MORARKA	Click here to go back
PAN (II)	AAKPM6075B	AADHG0441A	ANUPM8420D	AIOPM0635P	AFAPM9992C	Total
No. of fully paid up equity shares held (IV)	2826659	6300	124971	51236	90178	3099344
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2826659	6300	124971	51236	90178	3099344
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg:X	2826659	6300	124971	51236	90178	3099344
Class eg:y						
Total	2826659	6300	124971	51236	90178	3099344
Total as a % of Total Voting rights	15.01	0.03	0.66	0.27	0.48	16.46
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.01	0.03	0.66	0.27	0.48	16.46
<b>Number of Locked in shares (XII)</b>						
No. (a)						
As a % of total Shares held (b)						
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	2826659	6300	124971	51236	90178	3099344



Reason for not providing PAN						
Reason for not providing PAN						

<b>Any Other (specify)</b>			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	DWARIKESH TRADING COMPANY LTD	MORARKA FINANCE LIMITED	
PAN (II)	AABCD8270H	AAACM2821Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	2624889	2159118	4784007
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2624889	2159118	4784007
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.94	11.47	25.41
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	2624889	2159118	4784007
Class eg:y			
Total	2624889	2159118	4784007
Total as a % of Total Voting rights	13.94	11.47	25.41
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.94	11.47	25.41
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity			

shares held in dematerialized form (XIV)	2624889	2159118	4784007
Reason for not providing PAN			

<b>Mutual Funds</b>			
Searial No.	1	2	
Name of the Shareholders (I)	L AND T MUTUAL FUND TRUSTEE LTD	PRINCIPAL TRUSTEE CO.PVT.LTD.	<a href="#">Click here to go back</a>
PAN (II)	AAATC4460E	AAATP6607N	Total
No. of fully paid up equity shares held (IV)	733000	226600	959600
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	733000	226600	959600
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.89	1.2	5.1
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	733000	226600	959600
Class eg:y			
Total	733000	226600	959600
Total as a % of Total Voting rights	3.89	1.2	5.1
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.89	1.2	5.1
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	733000	226600	959600
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>				
Serial No.	1	2	3	
Name of the Shareholders (I)	ANIL KUMAR GOEL	DOLLY KHANNA	MUKUL M AGRAWAL	<a href="#">Click here to go back</a>
PAN (II)	AAJPG2552Q	ADOPD7812J	AARFP3029P	Total
No. of fully paid up equity shares held (IV)	287100	234861	600000	1121961
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	287100	234861	600000	1121961
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.52	1.25	3.19	5.96
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	287100	234861	600000	1121961
Class eg:y				
Total	287100	234861	600000	1121961
Total as a % of Total Voting rights	1.52	1.25	3.19	5.96
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.52	1.25	3.19	5.96
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	287100	234861	600000	1121961
<b>Reason for not providing PAN</b>				
Reason for not providing PAN				

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Trusts	Bodies Corporate	Bodies Corporate	NRI – Repat	NRI – Non-Repatriation	Director or Director's Relatives
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)				INDIANIVESH CAPITAL LIMITED			
PAN (II)				AAACJ6703C			
No. of the Shareholders (I)	369	4	338	1	123	66	2
No. of fully paid up equity shares held (IV)	407716	9332	2162965	332500	106369	77891	1031
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	407716	9332	2162965	332500	106369	77891	1031
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.17	0.05	11.49	1.77	0.56	0.41	0.01
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	407716	9332	2162965	332500	106369	77891	1031
Class eg:y							
Total	407716	9332	2162965	332500	106369	77891	1031
Total as a % of Total Voting rights	2.17	0.05	11.49	1.77	0.56	0.41	0.01
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.17	0.05	11.49	1.77	0.56	0.41	0.01
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	407716	9332	2162965	332500	106369	54505	1031

Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)				
Serial No.	8	9	10	
Category	Other	Other	HUF	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)	FOREIGN NATIONALS	LLP / PARTNERSHIP FIRM		<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	1	8	294	1205
No. of fully paid up equity shares held (IV)	500	41066	463509	3270379
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	500	41066	463509	3270379
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.22	2.46	17.37
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	500	41066	463509	3270379
Class eg:y				
Total	500	41066	463509	3270379
Total as a % of Total Voting rights	0	0.22	2.46	17.37
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.22	2.46	17.37
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	500	41066	463509	3246993



Reason for not providing PAN				
Reason for not providing PAN				

