

Annexure 3

DECLARATION FOR FOREIGN INSTITUTIONAL INVESTORS (FII) / FOREIGN PORTFOLIO INVESTORS (FPI)

Date: **XXXXXXXXXXXX**

To

Dwarikesh Sugar Industries Limited ,

Subject: Declaration regarding registration number and nature

I / We, **[.....]** do hereby solemnly declare as follows:

- a. I / We, am / are registered as a Foreign Institutional Investor/ Foreign Portfolio Investor with Securities Exchange Board of India ('SEBI') holding the registration number **XXXXXX** and complying with all regulations as prescribed by SEBI during the year 2022-23.
- b. I am / We are registered with SEBI under the status as ***Individual / *Corporate / *Trust** and have obtained the necessary certificates as prescribed.
- c. I am / We are the beneficial owner of the investments made by me/us in the shares of Dwarikesh Sugar Industries Limited and also any income receivable from such investments, for a period of less than 365 days.

OR

I am / We are the beneficial owner of the investments made by me/us in the shares of Dwarikesh Sugar Industries Limited and also any income receivable from such investments, for an uninterrupted period of 365 days or more including the date of payment of the dividends.

OR

I am / We are the beneficial owner of the investments made by me/us in the shares of Dwarikesh Sugar Industries Limited and also any income receivable from such

investments, for a period of more than ‘.....’days [required period of days under the relevant Double Taxation Avoidance Agreement].

- d. I / We further declare that I / we have the right to use and enjoy the dividend received / receivable from the above shares and such right is not constrained by any contractual and / or legal obligation to pass on such dividend to another person.

- e. I / We further declare that I / We are eligible to claim benefit of the tax treaty between India and [Name of the Country of residence of shareholder] including satisfaction of the Limitation of Benefits clause (wherever applicable). I / We also enclose a self-attested copy of Tax Residency Certificate (TRC) (of FY 2022-23 i.e. covering the period from 01 April 2022 to March 31, 2023) obtained from the tax authorities of the country of which I / We am a / are resident

- f. I / We undertake to intimate Dwarikesh Sugar Industries Limited immediately in case of any alteration in the aforesaid declaration.

- g. I, [.....], hereby declare that the contents above are correct, complete and truly stated.

(Name, designation & signature of the Shareholder)

Company/Trust Seal (if applicable)

Date:

Place:

Address:

Email and Telephone:

Tax identification number (country of residence):

Notes:

- 1. *Delete whichever is not applicable.