



# DWARIKESH SUGAR INDUSTRIES LIMITED

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Corp. off.; 511, Maker Chambers V, 221, Nariman Point, Mumbai – 400021. Tel.: 2283 2486, 2204 2945, Fax : 2204 7288  
E Mail : dsilbom@dwarikesh.com Website : www.dwarikesh.com, CIN : L15421UP1993PLC018642

REF: DSIL/2021-22/010

April 09, 2021

Corporate Relationship Department  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort, Mumbai - 400 001  
Fax: 22723 2082 /3132

National Stock Exchange of India Limited  
“Exchange Plaza”  
Bandra – Kurla Complex,  
Bandra [E], Mumbai - 400 051

**Scrip Code - 532610**

**Scrip Code – DWARKESH**

**Sub: Regulations 40 (9) for Physical Transfer**

Dear Sir,

Pursuant to Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are pleased to forward herewith a certificate from practicing Company Secretary confirming that there are no shares pending for transfer, sub division, consolidation, renewal, exchange or endorsement for the half year ended March 31, 2021.

Kindly acknowledge the receipt of the same.

Thanking you,

Yours faithfully

**B. J. Maheshwari**

Managing Director & CS cum CCO  
(DIN: 00002075)

Encl: As above.

VIJAY KUMAR MISHRA

B. Com (Hons), A C A . F.C.S

PARESH D PANDYA

B. Com., A.C.S.

VKM & ASSOCIATES

PRACTISING COMPANY SECRETARIES

116, Trinity Building, 1st Floor, 227, Dr. C. H. Street, Behind

Parsi Dairy, Marine Lines (E), Mumbai - 2. Tel. : 2207 7267

Fax : 2207 7542 Mob.: 93229 77388

E-mail: vkmassociales@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS  
REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 31<sup>st</sup>MARCH, 2021**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Dwarikesh Sugar Industries Limited** having its Registered Office at Dwarikesh Nagar-246 762, Dist. Bijnore, Uttar Pradesh maintained by **M/s. Universal Capital Securities Private Limited** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup>October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Clause 40(9) of the Listing Regulation entered into by, **M/s. Dwarikesh Sugar Industries Limited** with BSE and NSE based on the information provided by Company's Registrar and Share Transfer Agent, We hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> MARCH, 2021**:

A) Shares Certificate(s) relating to the transfer of Shares received during the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

For VKM & ASSOCIATES  
Company Secretaries



**(Vijay Kumar Mishra)**  
Partner  
C.P.No.4279

**UDIN : F005023C000039530**

**Place : Mumbai**

**Date : 09/04/2021**