

General information about company	
Scrip code	532610
NSE Symbol	DWARKESH
MSEI Symbol	NOTLISTED
ISIN	INE366A01041
Name of the company	Dwarikesh Sugar Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	79233510			79233510	42.08	79233510		79233510	42.08
(B)	Public	46182	109067960			109067960	57.92	109067960		109067960	57.92
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	46189	188301470			188301470	100	188301470		188301470	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				42.08					79233510
(B)	Public				57.92					108809510
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					188043020

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	31393440			31393440	16.67	31393440		31393440	16.67
(d)	Any Other (specify)	2	47840070			47840070	25.41	47840070		47840070	25.41
Sub-Total (A)(1)		7	79233510			79233510	42.08	79233510		79233510	42.08
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	79233510			79233510	42.08	79233510		79233510	42.08
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	6261017			6261017	3.32	6261017		6261017	3.32
(e)	Foreign Portfolio Investors	2	231540			231540	0.12	231540		231540	0.12
Sub-Total (B)(1)		3	6492557			6492557	3.45	6492557		6492557	3.45
(2)	Central Government/ State Government(s)/ President of India	1	250000			250000	0.13	250000		250000	0.13
Sub-Total (B)(2)		1	250000			250000	0.13	250000		250000	0.13
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	44055	57604747			57604747	30.59	57604747		57604747	30.59
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	28	24591681			24591681	13.06	24591681		24591681	13.06
(b)	NBFCs registered with RBI	1	72500			72500	0.04	72500		72500	0.04
(e)	Any Other (specify)	2094	20056475			20056475	10.65	20056475		20056475	10.65
Sub-Total (B)(3)		46178	102325403			102325403	54.34	102325403		102325403	54.34
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		46182	109067960			109067960	57.92	109067960		109067960	57.92
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		46189	188301470			188301470	100	188301470		188301470	100
Total (A+B+C)		46189	188301470			188301470	100	188301470		188301470	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.67					31393440
(d)				25.41					47840070
Sub-Total (A) (1)				42.08					79233510
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				42.08					79233510
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				3.32					6261017
(e)				0.12					231540
Sub-Total (B) (1)				3.45					6492557
(2)				0.13					250000
Sub-Total (B) (2)				0.13					250000
(3)	Non-institutions								
(a(i))				30.59					57459297
(a(ii))				13.06					24591681
(b)				0.04					72500
(e)				10.65					19943475
Sub-Total (B) (3)				54.34					102066953
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				57.92					108809510
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					188043020
Total (A+B+C)				100					188043020

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	GAUTAM R MORARKA	GAUTAM R MORARKA HUF	PRANAY GAUTAM MORARKA	PRIYANKA G MORARKA	SMRITI G MORARKA	Click here to go back
PAN (II)	AAKPM6075B	AADHG0441A	ANUPM8420D	AIOPM0635P	AFAPM9992C	Total
No. of fully paid up equity shares held (IV)	28566590	63000	1249710	512360	1001780	31393440
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28566590	63000	1249710	512360	1001780	31393440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.17	0.03	0.66	0.27	0.53	16.67
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	28566590	63000	1249710	512360	1001780	31393440
Class eg:y						
Total	28566590	63000	1249710	512360	1001780	31393440
Total as a % of Total Voting rights	15.17	0.03	0.66	0.27	0.53	16.67
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	15.17	0.03	0.66	0.27	0.53	16.67
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	28566590	63000	1249710	512360	1001780	31393440
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	DWARIKESH TRADING COMPANY LTD	MORARKA FINANCE LIMITED	
PAN (II)	AABCD8270H	AAACM2821Q	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	26248890	21591180	47840070
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	26248890	21591180	47840070
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.94	11.47	25.41
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	26248890	21591180	47840070
Class eg:y			
Total	26248890	21591180	47840070
Total as a % of Total Voting rights	13.94	11.47	25.41
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.94	11.47	25.41
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	26248890	21591180	47840070
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	DSP SMALL CAP FUND	Click here to go back
PAN (II)	AAAJD0430B	Total
No. of fully paid up equity shares held (IV)	6261017	6261017
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6261017	6261017
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.32	3.32
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	6261017	6261017
Class eg:y		
Total	6261017	6261017
Total as a % of Total Voting rights	3.32	3.32
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.32	3.32
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	6261017	6261017
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Serial No.	1	2	
Name of the Shareholders (I)	ANIL KUMAR GOEL	SEEMA GOEL	Click here to go back
PAN (II)	AAJPG2552Q	AHAPG8015A	Total
No. of fully paid up equity shares held (IV)	8200000	3830000	12030000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8200000	3830000	12030000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.35	2.03	6.39
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	8200000	3830000	12030000
Class eg:y			
Total	8200000	3830000	12030000
Total as a % of Total Voting rights	4.35	2.03	6.39
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.35	2.03	6.39
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	8200000	3830000	12030000
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Trusts	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	Director or Director's Relatives	LLP
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	Category	Category	Category
Name of the Shareholders (I)				PREMIER CREDIT CAPITAL LIMITED			
PAN (II)				AABCP5326Q			
No. of the Shareholders (I)	167	1	251	1	660	2	19
No. of fully paid up equity shares held (IV)	932101	5436	9341216	2167394	3254663	10310	984440
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	932101	5436	9341216	2167394	3254663	10310	984440
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.5	0	4.96	1.15	1.73	0.01	0.52
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	932101	5436	9341216	2167394	3254663	10310	984440
Class eg:y							
Total	932101	5436	9341216	2167394	3254663	10310	984440
Total as a % of Total Voting rights	0.5	0	4.96	1.15	1.73	0.01	0.52
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.5	0	4.96	1.15	1.73	0.01	0.52
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	932101	5436	9341216	2167394	3141663	10310	984440
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)				
Serial No.	8	9	10	
Category	HUF	IEPF	Bodies Corporate	
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)			VLS FINANCE LTD	Click here to go back
PAN (II)			AAACV4183G	Total
No. of the Shareholders (I)	993	1	1	2094
No. of fully paid up equity shares held (IV)	5098859	429450	2000000	20056475
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5098859	429450	2000000	20056475
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.71	0.23	1.06	10.66
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	5098859	429450	2000000	20056475
Class eg:y				
Total	5098859	429450	2000000	20056475
Total as a % of Total Voting rights	2.71	0.23	1.06	10.66
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.71	0.23	1.06	10.66
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	5098859	429450	2000000	19943475
Reason for not providing PAN				
Reason for not providing PAN				

significant beneficial owners

Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
Morarka Finance Ltd	AAKPM6075B		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		30.57	30.57		No	No
Total:										30.57	30.57	0		
Morarka Finance Ltd	AFAPM9992C		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0		No	No
Total:										0	0	0		
Morarka Finance Ltd	AIOPM0635P		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0		No	No
Total:										0	0	0		
Morarka Finance Ltd	ANUPM8420D		India		Dwarikesh Trading Company Ltd	AABCD8270H		India		0	0		No	No
Total:										0	0	0		
Morarka Finance Ltd	AAKPM6075B		India		Morarka Finance Ltd	AAACM2821Q		India		27.83	27.83		No	No
Total:										58.4	58.4	0		
Morarka Finance Ltd	AFAPM9992C		India		Morarka Finance Ltd	AAACM2821Q		India		0	0		No	No
Total:										27.83	27.83	0		
Morarka Finance Ltd	ANUPM8420D		India		Morarka Finance Ltd	AAACM2821Q		India		0	0		No	No
Total:										27.83	27.83	0		
Morarka Finance Ltd	AAKPM6075B		India		G R Morarka HUF	AADHG0441A		India		16.66	16.66		No	No
Total:										75.06	75.06	0		
Morarka Finance Ltd	AFAPM9992C		India		G R Morarka HUF	AADHG0441A		India		0	0		No	No
Total:										44.49	44.49	0		
Morarka Finance Ltd	AIOPM0635P		India		G R Morarka HUF	AADHG0441A		India		0	0		No	No
Total:										44.49	44.49	0		
Morarka Finance Ltd	ANUPM8420D		India		G R Morarka HUF	AADHG0441A		India		0	0		No	No
Total:										44.49	44.49	0		