



# DWARIKESH SUGAR INDUSTRIES LIMITED

Corp. Off.: 511, Maker Chambers V, 221, Nariman Point, Mumbai - 400 021. Tel.: 2283 2486, 2204 2945 Fax : 2204 7288  
E-mail : dsilbom@dwarikesh.com • Website : www.dwarikesh.com • CIN : L15421UP1993PLC018642

REF: DSIL/2019-20/022

April 09, 2019

Corporate Relationship Department  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort, Mumbai - 400 001  
Fax: 22723 2082 /3132

National Stock Exchange of India Limited  
"Exchange Plaza"  
Bandra - Kurla Complex,  
Bandra [E], Mumbai - 400 051

**Scrip Code - 532610**

**Scrip Code - DWARKESH**

**Sub: Regulations 40 (9) for Physical Transfer**

Dear Sir,

Pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are pleased to forward herewith the a certificate from practicing Company Secretary confirming that there are no shares pending for transfer, sub-division, consolidation, renewal, exchange or endorsement for the half year ended March 31, 2019 as per your format.

Kindly acknowledge the receipt of the same.

Thanking you,

Yours faithfully

  
**B. J. Maheshwari**  
Managing Director & CS cum CCO

Encl: As above.

VIJAY KUMAR MISHRA

B. Com. (Hons.), A.C.A., F.C.S.

PARESH D PANDYA

B. Com., A.C.S.

**VKM & ASSOCIATES**

**PRACTISING COMPANY SECRETARIES**

116, Trinity Building, 1st Floor, 227, Dr. C. H. Street,  
Behind Parsi Dairy, Marine Lines (E), Mumbai - 2.

Tel. : 2207 7267 ❖ Fax : 2207 7542

Mob.: 93229 77388 ❖ E-mail : vkmassociates@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE LISTING REGULATION**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2019.**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Dwarikesh Sugar Industries Limited** having its Registered Office at Dwarikesh Nagar-246 762, Dist. Bijnore, Uttar Pradesh maintained by **M/s. Universal Capital Securities Private Limited** pertaining to transfer of equity shares of the company for the period from **1st October, 2018 to 31<sup>st</sup> March, 2019** for the purpose of issuing a Certificate as per Clause 40(9) of the Listing Regulation entered into by, **M/s. Dwarikesh Sugar Industries Limited** with BSE and NSE based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March, 2019:**

A) No Share Certificate(s) relating to the transfer of Shares, subdivision, consolidation, renewal, exchange or endorsement were received during the period from **1st October, 2018 to 31<sup>st</sup> March, 2019.**

**For VKM & ASSOCIATES**

**Company Secretaries**



**(Vijay Kumar Mishra)**

**Partner**

**C.P.No.4279**

**M.No.5023**

**Place: Mumbai**

**Date: 08/04/2019**