



# DWARIKESH SUGAR INDUSTRIES LIMITED

Corp. Off.: 511, Maker Chambers V, 221, Nariman Point, Mumbai - 400 021. Tel.: 2283 2468, 2204 2945 Fax : 2204 7288  
E-mail : dsilbom@dwarikesh.com • Website : www.dwarikesh.com • CIN : L15421UP1993PLC018642

REF: DSIL/2018-19/325

October 13, 2018

Corporate Relationship Department  
BSE Ltd.  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort, Mumbai - 400 001  
Fax: 22723 2082 /3132

National Stock Exchange of India Limited  
"Exchange Plaza"  
Bandra - Kurla Complex,  
Bandra [E], Mumbai - 400 051

**Scrip Code - 532610**

**Scrip Code - DWARKESH**

**Sub: Regulations 40 (10) for Physical Transfer**

Dear Sir,

Pursuant to Regulation 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are pleased to forward herewith the a certificate from practicing Company Secretary confirming that there are no shares pending for transfer, sub-division, consolidation, renewal, exchange or endorsement for half year ended September 30, 2018 as per your format.

Kindly acknowledge the receipt of the same.

Thanking you,

Yours faithfully

  
**B. J. Maheshwari**  
Managing Director & CS cum CCO

Encl: As above.

VIJAY KUMAR MISHRA

Chartered Accountant (ACA) FCS

PARESH D PANDYA

Chartered Accountant (ACA) FCS

**VKM & ASSOCIATES**

PRACTISING COMPANY SECRETARIES

116, Trinity Building, 1st Floor, 227, Dr. C. H. Street,  
Behind Parsi Dairy, Marine Lines (E), Mumbai - 2.  
Tel. : 2207 7267 ✦ Fax : 2207 7542  
Mob.: 93229 77388 ✦ E-mail : vkmassociates@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE LISTING REGULATION**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2018.**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Dwarikesh Sugar Industries Limited** having its Registered Office at Dwarikesh Nagar-246 762, Dist. Bijnore, Uttar Pradesh maintained by **M/s. Universal Capital Securities Private Limited** pertaining to transfer of equity shares of the company for the period from **1st April, 2018 to 30th September, 2018** for the purpose of issuing a Certificate as per Clause 40(9) of the Listing Regulation entered into by, **M/s. Dwarikesh Sugar Industries Limited** with BSE and NSE based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2018**:

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **1st April, 2018 to 30th September, 2018** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

For VKM & ASSOCIATES

Company Secretaries



(Vijay Kumar Mishra)

Partner

C.P.No.4279

M.No.5023

Place: Mumbai

Date: 13/10/2018