

DWARIKESH SUGAR INDUSTRIES LIMITED

Corp. off.; 511, Maker Chambers V, 221, Nariman Point, Mumbai – 400021. Tel.: 2283 2486, 2204 2945, Fax : 2204 7288
E Mail : dsilbom@dwarikesh.com Website : www.dwarikesh.com, CIN : L15421UP1993PLC018642

REF: DSIL/2022-23/277

August 09, 2022

Corporate Relationship Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort, Mumbai - 400 001
Fax: 22723 2082 /3132

National Stock Exchange of India Limited
“Exchange Plaza”
Bandra – Kurla Complex,
Bandra [E], Mumbai - 400 051

Scrip Code - 532610

Scrip Code - DWARKESH

Sub: Regulation 3(5) and 3(6) under SEBI (PIT) Regulations, 2015 - Compliance Certificate for Structural Digital Database

Dear Sir,

Pursuant to Regulation 3(5) and 3 (6) of SEBI (PIT) Regulations, 2015, we are pleased to forward herewith Compliance Certificate for Structural Digital Database for quarter ended June 30, 2022 as per format.

Kindly acknowledge the receipt of the same.

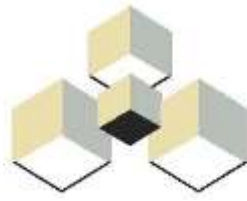
Thanking you,

Yours faithfully

B. J. Maheshwari

Managing Director & CS cum CCO
(DIN: 00002075)

Encl: As above.



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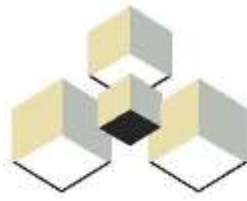
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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **B. J. Maheshwari**, Compliance Officer, have examined the following compliance requirement of **Dwarikesh Sugar Industries Limited** and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	-
2.	Whether control exists as to who can access the SDD for read/write along with the names and PAN of such person?	Yes	-
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	Yes	-
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	-
5.	Whether nature of UPSI have been captured along with date and time?	Yes	-
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	-
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	-
8.	Whether the database has been maintained internally?	Yes	-
9.	Whether audit trail is maintained?	Yes	-
10.	Whether time stamping is maintained?	Yes	-
11.	Whether the database is non-tamperable?	Yes	-



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12.	Any other measures to ensure non-tamperability of the Database?	Yes	Access is only available with Compliance Officer, Company Secretary and designated officials of the Secretarial team. The Company has internal financial and operational controls which are subject to periodical management, secretarial and internal audit.
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Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.

The number of days for which non-compliance was observed: NIL

Further, I, also confirm that the Company was required to capture 3 (Three) number of events during the quarter ended June 30, 2022 and has captured 3 (Three) number of the said required events.

For **Dwarikesh Sugar Industries Limited**

B. J. Maheshwari

Managing Director & CS cum CCO

(DIN: 00002075)

Date: August 09, 2022

Place: Mumbai